

THE

Attorney Docket No.: COOL-01901

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Group Art Unit: 3753

162 N. Wolfe Road Sunnyvale, CA 94086 (408) 530-9700

Customer No.: 28960

TRANSMITTAL LETTER

Examiner:

In re Application of:
Daniel J. Lenehan et al.
Serial No.: 10/731,674
Filed: December 8, 2003

For: PUMP AND FAN CONTROL CONCEPTS IN A COOLING

SYSTEM

Mail Stop: Amendment Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Sir:

Enclosed please find a Supplemental Information Disclosure Statement, a Certification pursuant to 37 C.F.R. § 1.97(e) for the Information Disclosure Statement, and Form PTO-1449, including a copy of the reference contained thereon, for filing in the U.S. Patent and Trademark Office.

The Commissioner is hereby authorized to charge any additional fee or credit overpayment to our Deposit Account No. <u>08-1275</u>. An originally executed duplicate of this transmittal is enclosed for this purpose.

Respectfully submitted, HAVERSTOCK & OWENS LLP

Dated: 10-5-04

Thomas B. Haverstock Reg. No.: 32,571

Attorneys for Applicants

CERTIFICATE OF MAILING (37 CFR§ 1.8(a))

I hereby certify that this paper (along with any referred to as being attached or enclosed) is being deposited with the U.S. Postal Service on the date shown below with sufficient postage as first class mail in an envelope addressed to the: Commissioner for Patents, P.O. Box 1450 Alexandria, VA 22313-1450

HAVERSTOCK & OWENS LLP.



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

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SUPPLEMENTAL INFORMATION

DISCLOSURE STATEMENT

Examiner:

In re Application of:

Daniel J. Lenehan et al.

Serial No.: 10/731,674

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CONCEPTS IN A COOLING

SYSTEM

Mail Stop: Amendment Commissioner for Patents

P.O. Box 1450

Alexandria, VA 22313-1450

Sir:

The citations listed below, copies attached, may be material to the examination of the above-identified application, and are therefore submitted in compliance with the duty of disclosure defined in 37 C.F.R. §§ 1.56 and 1.97. The Examiner is requested to make these citations of official record in this application.

Applicants have become aware of the following printed publication which may be material to the examination of this application:

- U.S. Patent No. 5,839,290; and
- U.S. Patent No. 2002/0096312 A1.

This Supplemental Information Disclosure Statement under 37 C.F.R. §§ 1.56 and 1.97 is not to be construed as a representation that a search has been made, that additional information material to the examination of this application does not exist, or that anyone or more of these citations constitutes prior art.

Respectfully submitted,

HAVERSTOCK & OWENS LLP

Dated: 10-5-04

Thomas B. Haverstock

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HAVERSTOCK & OWENS LLP

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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:) Group Art Unit: 3753
Daniel J. Lenehan et al.) Examiner:
Serial No.: 10/731,674) <u>CERTIFICATION FOR</u>) <u>SUPPLEMENTAL INFORMATION</u>
Filed: December 8, 2003) DISCLOSURE STATEMENT UNDER 37) CFR § 1.97(e)
For: PUMP AND FAN CONTROL CONCEPTS IN A COOLING SYSTEM) 162 N. Wolfe Road) Sunnyvale, CA 94086) (408) 530-9700

Mail Stop: Amendment Commissioner for Patents P.O. Box 1450

Alexandria, VA 22313-1450

Sir:

This certification is being made for the Supplemental Information Disclosure Statement accompanying this certification.

Certification

I, the person signing below, hereby certify that the printed publication contained in the enclosed supplemental information disclosure statement were cited within an Official Action mailed on August 24, 2004. Accordingly, I hereby certify that no reference contained within this supplemental information disclosure statement was cited in a communication from a foreign patent office in a related foreign application, and, to the knowledge of the person signing the statement, after making reasonable inquiry, no reference contained within this supplemental information disclosure statement was known to any individual designated in §1.56(c) more than three months prior to the filing of this supplemental information disclosure statement. 37 C.F.R. 1.97(e)(2).

Identification of Person Making Certification

The person making this certification is the attorney who signs below on the basis of the information in the attorney's file.

Respectfully submitted,

HAVERSTOCK & OWENS LLP

Dated: 10-5-04

Thomas B. Haverstock

Reg. No.: 32,571

Attorneys for Applicants

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HAVERSTOCK & OWENS LLP

Date: 10 -5 - 0 T By:

FORM PTO-1449 (Modified)

(37 CFR § 1.98(b))

U.S. Department of Commerce Patent and Trademark Office

Attorney Docket No.: COOL-01901

Serial No.: 10/731,674

INFORMATION DISCLOSUS STATEMENT BY APPLICANT MAKE SEVEN Reets If Necessary)

Applicants: Daniel J. Lenehan et al.

Filing Date: December 8, 2003

Group Art Unit: 3753

U.S. PATENT DOCUMENTS									
Examiner Initials		Serial / Patent Number	Issue Date	Applicant / Patentee	Class	Subclass	Filing Date		
	AA	5,839,290	11/24/98	Nazeri	62	119	01/24/97		
	AB	2002/0096312 A1	07/25/02	Korin	165	58	12/04/01		
	AC								
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	BE								
	BF								
	BG								
	ВН								

Examiner:

Date Considered:

EXAMINER:

Initial citation considered. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.